in E			Application Number		10/009,508	
TRANSMITTAL FORM To be used for all correspondence after initial filing)			Filing Date		November 6, 2001	
			First N	lamed Inventor	Su, Sai L.	
			Art Un	Art Unit Not assigned		
			Exami	ner Name	Not assigned	
Total Number of Pages in This S	ubmission	1	Attorn	ey Docket Number	020093-001000US	
		ENCLO	SURES	(Check all that apply)		
Fee Transmittal Form		☐ Drawin	g(s)		After Allowance Communication to Group	
Fee Attached		Licensing-related Papers		ed Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Petition	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	After Final		n to Convert to a onal Application		Proprietary Information	
Affidavits/declaration(s)		Power of Attorney, F		ey, Revocation espondence Address	Status Letter	
Extension of Time Request		☐ Termin	Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Express Abandonment Request		Request for Refund CD, Number of CD(s)			Form PTO-1449; References AA- BG; Return Postcard	
Information Disclosure State	ement					
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Response to Missing Parts/ Incomplete Application				J		
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or Individual Brian W		Reg. No. 32,928				
Signature	sin h	1. Por 2003				
Date 5	March	2003	3			
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hereby certify that this corresponder	ce is being tope address	acsimile trans	mitted to t	he USPTO or deposited wit r Patents, Washington, D.C.	h the United States Postal Service with sufficience 20231 on this date: Man. 5. 2023	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

DTO7 Rec'd PCT/PTO 10 MAR 2003

#9

PATENT Attorney Docket No. 020093-001000US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Sai L. SU

Application No.: 10/009,508

Filed: November 6, 2001

For: METHODS FOR THE DIAGNOSIS

AND TREATMENT OF METASTATIC PROSTATE

TUMORS

Examiner:

Not assigned

Art Unit:

Not assigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicants direct the Examiner's attention to the references below, also listed on the accompanying Form PTO-1449. A copy of each is also enclosed.

The following articles are set forth in alphabetical order:

- AA. Achen *et al.*, "Vascular endothelial growth factor D (VEGF-D) is a ligand for the tyrosine kinases VEGF receptor 2 (Flk1) and VEGF receptor 3 (Flt4)," *Proc. Natl. Acad. Sci. USA* 95:548-553 (1998)
- AB. Bigler et al., "Comparison of microscopic vascularity in benign and malignant prostate tissue," Hum. Pathol. 24:220-226 (1993)
- AC. Brawer *et al.*, "Predictors of pathologic stage in prostatic carcinoma," *Cancer* 73:678-687 (1994)

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AD. Brown *et al.*, "Vascular permeability factor (vascular endothelial growth factor) is strongly expressed in the normal male genital tract and is present in substantial quantities in semen," *J. Urol.* 154:576-579 (1995)

- AE. Chevalier, "This month in investigative urology: Commentary on prostatic neovascularization and vascular endothelial growth factor," *J. Urol.* 157:2040-2041 (1997)
- AF. Connolly *et al.*, "Angiogenesis in two human prostate cancer cell lines with differing metastatic potential when growing as solid tumors in nude mice," *J. Urol.* 160:932-936 (1998)
- AG. Deering et al., "Microvascularity in benign prostatic hyperplasia," Prostate 26:111-115 (1995)
- AH. Ferrara et al., "The vascular endothelial growth factor family of polypeptides," J. Cell Biochem. 47:211-8 (1991)
- AI. Ferrara, "The role of vascular endothelial growth factor in pathological angiogenesis," *Breast Cancer Res. Treat.* 36:127-137 (1995)
- AJ. Ferrer *et al.*, "Vascular endothelial growth factor (VEGF) expression in human prostate cancer: in situ and in vitro expression of VEGF by human prostate cancer cells," *J. Urol.* 157:2329-2333 (1997)
- AK. Ferrer *et al.*, "Angiogenesis and prostate cancer: in vivo and in vitro expression of angiogenesis factors by prostate cancer cells," *Urology* 51:161-167 (1998)
- AL. Frank *et al.*, "Regulation of vascular endothelial growth factor expression in cultured keratinocytes," *J. Biol. Chem.* 270:12607-12613 (1995)
- AM. Furusato et al., "Tumour angiogenesis in latent prostatic carcinoma," Br. J. Cancer 70:1244-1246 (1994)
- AN. Galland *et al.*, "Chromosomal localization of *FLT4*, a Novel Receptor-Type Tyrosine Kinase Gene," *Genomics* 13:475-478 (1992)

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AO. Gitay-Goren *et al.*, "Human melanoma cells but not normal melanocytes express vascular endothelial growth factor receptors," *Biochem. Biophys. Res. Commun.* 190:702-709 (1993)

- AP. Harper *et al.*, "Vascular endothelial growth factor (VEGF) expression in prostatic tumours and its relationship to neuroendocrine cells," *Br. J. Cancer* 74:910-916 (1996)
- AQ. Jackson *et al.*, "Vascular endothelial growth factor (VEGF) expression in prostate cancer and benign prostatic hyperplasia," *J. Urol.* 157:2323-2328 (1997)
- AR. Jeltsch *et al.*, "Hyperplasia of lymphatic vessels in VEGF-C transgenic mice," *Science* 276:1423-1425 (1997)
- AS. Joukov *et al.*, "Vascular endothelial growth factors VEGF-B and VEGF-C," *J. Cell Physiol.* 173:211-215 (1997)
- AT. Joukov *et al.*, "A novel vascular endothelial growth factor, VEGF-C, is a ligand for the Flt4 (VEGFR-3) and KDR (VEGFR-2) receptor tyrosine kinases," *EMBO J.* 15:290-298 (1996)
- AU. Joukov *et al.*, "Proteolytic processing regulates receptor specificity and activity of VEGF-C," *EMBO J.* 16:3898-3911 (1997)
 - AV. Kaipainen et al., J. Exp. Med. 178:2077-2088 (1993) [waiting for]
- AW. Kaipainen *et al.*, "Expression of the fms-like tyrosine kinase 4 gene becomes restricted to lymphatic endothelium during development," *Proc. Natl. Acad. Sci. USA* 92:3566-3570 (1995)
- AX. Klagsbrun et al., "Vascular endothelial growth factor and its receptors," Cytokine & Growth Factor Rev. 7:259-270 (1996)
- AY. Lymboussaki *et al.*, "Expression of the vascular endothelial growth factor C receptor VEGFR-3 in lymphatic endothelium of the skin and in vascular tumors," *Am. J. Pathol.* 153:395-403 (1998)
- AZ. Moustonen *et al.*, "Endothelial receptor tyrosine kinases involved in angiogenesis," *J. Cell Biol.* 129:895-898(1995)

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BA. Oh *et al.*, "VEGF and VEGF-C: Specific Induction of Angiogenesis and Lymphangiogenesis in the Differentiated Avian Chorioallantoic Membrane," *Dev. Biol.* 188:96-109 (1997)

- BB. Shibuya, "Role of VEGF-FLT receptor system in normal and tumor angiogenesis," *Adv. Cancer Res.* 67:281-316 (1995)
- BC. Shweiki *et al.*, "Patterns of expression of vascular endothelial growth factor (VEGF) and VEGF receptors in mice suggest a role in hormonally regulated angiogenesis," *J. Clin. Invest.* 91:2235-2243 (1993)
- BD. Siegal *et al.*,"Topography of neovascularity in human prostate carcinoma,"

 Cancer 75:2545-2451 (1995)
- BE. Weidner *et al.*, "Tumoral vascularity as a prognostic factor in cancer," *Important Adv. Oncol.* 167-190 (1996)
- BF. Woessner *et al.*, "Microautoradiographic quantitation of vascular endothelial growth factor mRNA levels in human prostate specimens containing normal and neoplastic epithelium," *Exp. Mol. Pathol.* 65:37-52 (1998)
- BG. Yamada *et al.*, "Molecular cloning of a novel vascular endothelial growth factor, VEGF-D," *Genomics* 42:483-488 (1997)

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant believes that his invention as claimed is patentable over the above references taken alone or in any combination. However, Applicant reserves the right to demonstrate that his claimed invention was made prior to any one or more of the above-identified references. No inference should be drawn as to the pertinence of the references based on the order in which they are presented.

Applicant respectfully requests that the Examiner review the foregoing references to make his own determination of the patentability of the present invention and that the references be made of record in the file of this application.

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Application No.: 10/009,508

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Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Dated: 5 Mars 2003

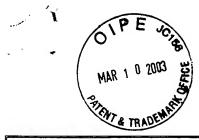
Brian W. Poor

Reg. No. 32,928

TOWNSEND and TOWNSEND and CREW LLP

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		The						
FORM PTO-1449 (Modified)		Attorney Docket No.: 020093-001000US Application No.: 10/009,508						
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Sai L. SU						
		Filing Date: Nov. 6, 2001	Group: Not assigned					
Reference	Design	nation	τ	J.S. PATENT DOCUMENT	rs		Page 1 of 1	
Examiner Initial		Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)	
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	AA	Achen et al., "Vascular endothelial growth factor D (VEGF-D) is a ligand for the tyrosine kinases VEGF receptor 2 (Flk1) and VEGF receptor 3 (Flt4)," Proc. Natl. Acad. Sci. USA 95:548-553 (1998)						
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	AR	Jeltsch et al., "Hyperplasia of lymphatic vessels in VEGF-C transgenic mice," Science 276:1423-1425 (1997)						
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	graphic quantitation of vascular endothelial grormal and neoplastic epithelium," Exp. Mol. Pat						
BG Yamada et al., "Molecular clonin (1997)	g of a novel vascular endothelial growth factor	, VEGF-D," Genomics 42:483-488					